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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Royan H. Bartley et al.

Date: February 14, 2005

Group Art Unit:

2141

**IBM** Corporation

Examiner:

Le Hien Luu

Intellectual Property Law

Serial No.:

09/892,424

Dept. 917, Bldg. 006-1

Filed:

June 27, 2001

3605 Highway 52 North

Rochester, MN 55901

Title: APPARATUS, METHOD, AND BUSINESS

METHOD FOR ENABLING CUSTOMER

ACCESS TO COMPUTER SYSTEM PERFORMANCE DATA IN EXCHANGE FOR SHARING THE PERFORMANCE

DATA

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

February 14, 2005

(Date of Deposit)

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Respectfully submitted,

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PTO/SB/08A

INFORMATION DISCLOSURDENS
STATEMENT BY APPLICANT

Sheet 1 of 1

Application Number.:

Filing Date:

First Named Inventor:

Art Unit:

Examiner Name:

Attorney Docket Number.:

09/892,424

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Royan H. Bartley

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ROC920000298US1

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5,826,000 A	10-20-1998	Hamilton	
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	<u>.</u>	US - 6,130,892 A	10-10-2000	Short et al.	
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	1	US - 6,798,997 B1	09-28-2004	Hayward et al	<u></u>

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.1	Foreign Patent Document  Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at

www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.